

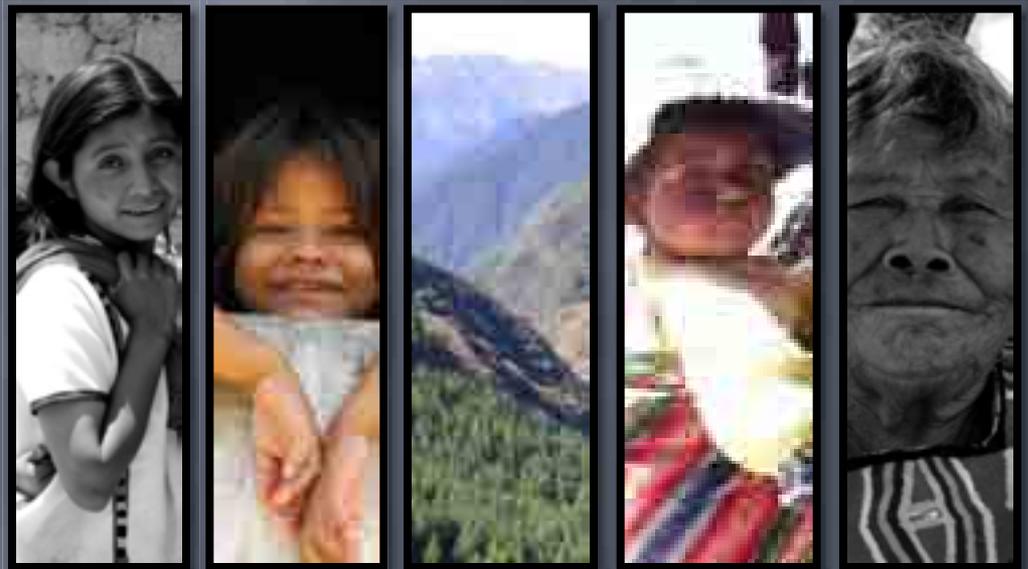
Seminario

“La evaluación de la cooperación: nuevas realidades, nuevos enfoques “

28 y 29 de octubre de 2010

EL MONITOREO COMO HERRAMIENTA PARA LA CALIDAD

ep^tisa



Lo que vamos a ver sobre el Monitoreo:

- 1. *Qué es el Monitoreo Orientado a Resultados (ROM)?***
- 2. *Lo que el ROM no es***
- 3. *Relación entre ROM y otros sistemas de seguimiento***
- 4. *Aspectos operacionales del ROM***
- 5. *El rol del monitor***
- 6. *La herramienta del Monitoreo***
- 7. *Monitoreo Ex-post***
- 8. *Productos Standard***

¿Qué es el ROM en la Cooperación Europea?

A document form with various sections and text, likely a standardized form for documenting cooperation initiatives. It includes a header with a logo and several sections of text and tables.

- *Una "Foto" en un momento dado de una iniciativa de cooperación*
- *según una metodología estandarizada y homogénea para todo tipo de iniciativa*
- *Centrada en valorar los resultados (no solamente el desarrollo de las actividades y / o la utilización de los medios)*

What is Result Oriented Monitoring (ROM)?

ROM's focus is similar to evaluation; however, it is distinct regarding its practice and modality. While evaluations embark on a resource intensive, in – depth analysis of a project or programme, ROM monitoring provides a “snapshot” of the project's quality and performance (ROM Handbook 2009)

Main Objectives of ROM

At micro level

to provide input and recommendations for project management in a cost and time-effective manner

Stakeholders:

Delegations, PMUs, cooperation partners, geocoordinators

Main objectives of ROM

At macro level

to give an overview of EC aid portfolio performance (currently 45% of overall portfolio)

Stakeholders:

Europaïd, HQ, DG

Who is responsible for ROM?

Under the responsibility of HQ and Delegations; Executed by external independent monitors under a ROM contract awarded every 3 years by Regional and thematic Lots

**EuropeAid E5
Quality Monitoring**

**Contracting Unit AidCo
ROM Task manager**

ROM contractor

**AIDCO geocoordinators
/TM**

Delegations

Principales características del ROM

- ▣ *Un servicio externo e independiente*
- ▣ *Transparente (inclusivo y participativo)*
- ▣ *Destaca tanto los puntos fuertes como los débiles*
- ▣ *Constructivo (debilidades - > recomendaciones)*
- ▣ *Sistema de alerta precoz*



ROM: un Proceso Objetivo

Fichas de recopilación de información (BCS)
21 preguntas clasificadas por los 5 criterios
(73 sub preguntas)



Conclusiones preliminares
Debriefing con unidades de gestión y task managers



Informe de Monitoreo



Control de Calidad x 2



Hojas de respuesta de los task managers



Lo que el ROM no es:

Diferentes destinatarios

Las expectativas cambiantes

- *No es una evaluación*
- *No es un rediseño del proyecto*
- *No es una auditoría*

Aclarar alcance en el briefing...

Relación con otros sistemas de seguimiento

Seguimiento por parte de la Delegación

Seguimiento interno

Evaluación final

Evaluación de medio término

Monitoreo ex post

Monitoreo externo periódico

Auditorías

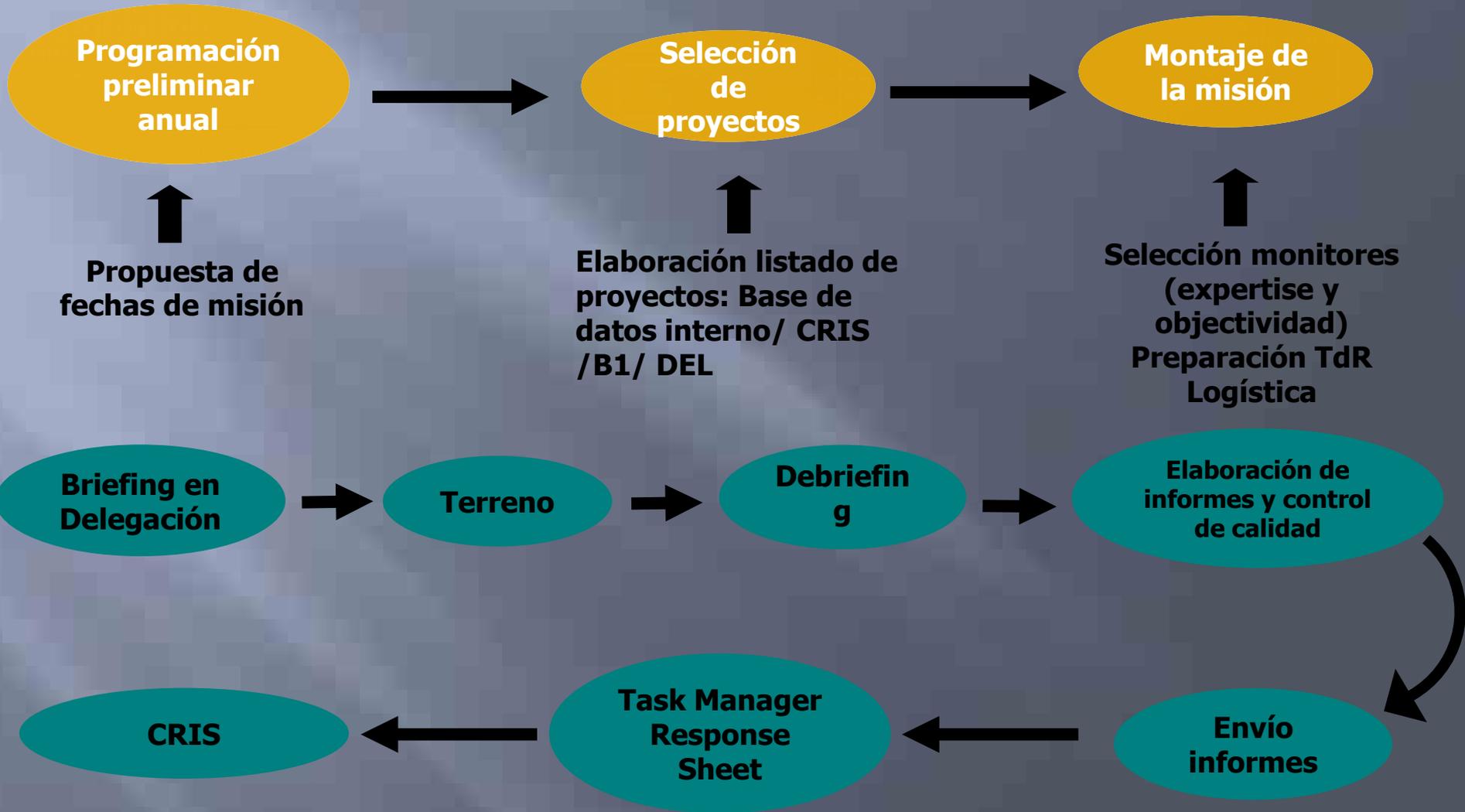
El monitoreo es parte del proceso de SyE y debe armonizarse con los demás instrumentos

Aspectos operacionales del ROM

- ▶ *La organización de las misiones se realiza desde una oficina ad hoc del consorcio contratista en Bruselas*
- ▶ *La selección de los proyectos se hace en coordinación con la Dirección Geográfica y las Delegaciones*



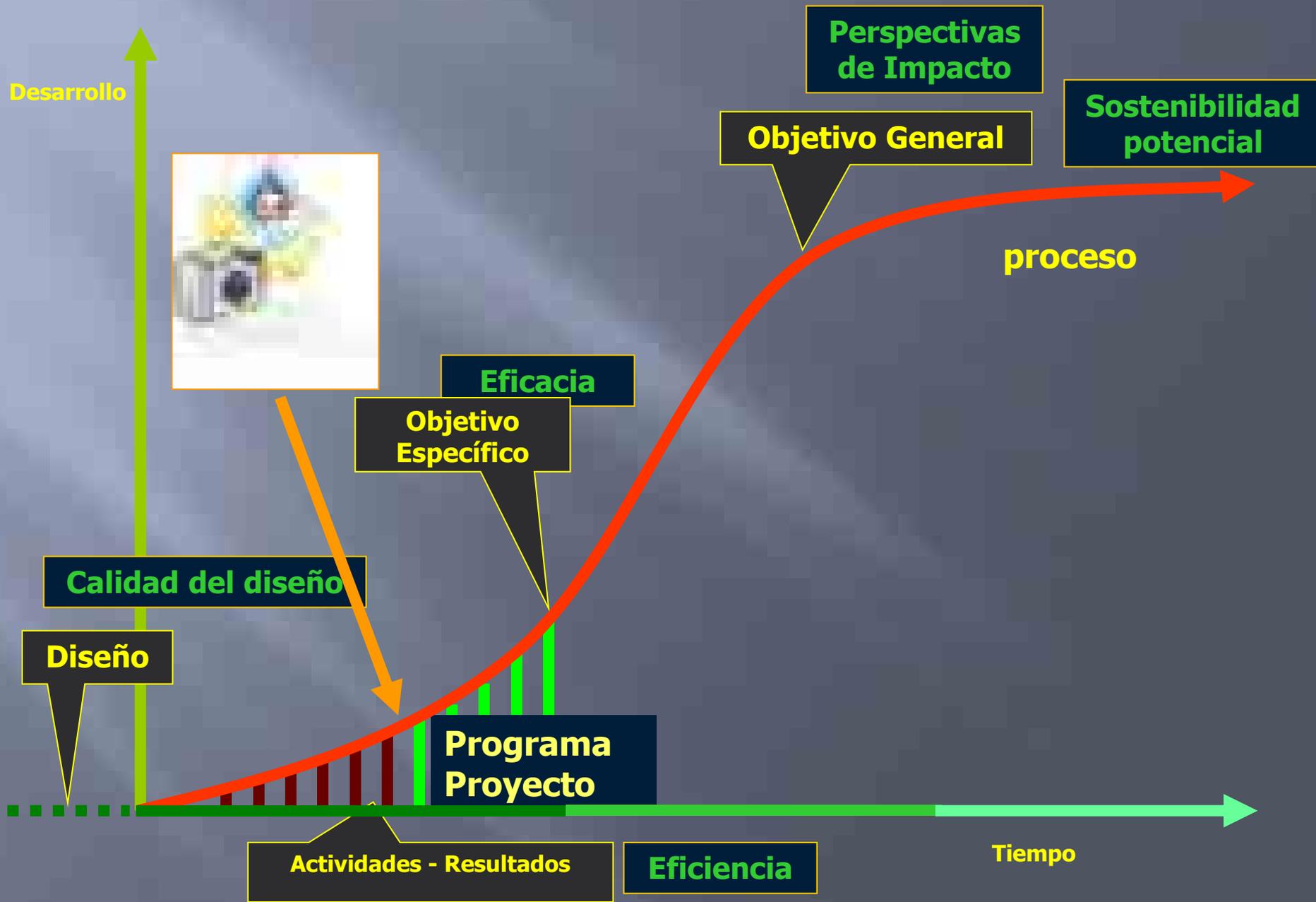
Aspectos operacionales: organización de la misión



El rol del monitor

- *Análisis de los documentos indispensables*
- *Contacto con proyecto (agenda preliminar)*
- *Briefing (puntos específicos – expectativas)*
- *Terreno*
- *Debriefings (conclusiones preliminares – no se presentan valoraciones). Formato acordado en el Briefing*
- *Elaboración de informes*

El ciclo del proyecto – La herramienta del Monitoreo



Presentación Metodología Monitoreo Ex - Post



Propósito

- *Información más sólida sobre el impacto y la sostenibilidad*
- *Identificar lecciones aprendidas / buenas prácticas*
- *Comparar entre la realidad y las predicciones (MR anteriores)*

Criterios de selección

- *Cerrado entre 12 y 24 meses*
- *Proyectos ya monitoreados*
- *Proyectos no sujetos a evaluaciones ex post*
- *Presupuestos $> 1M€$ (hasta $10\% < 1M€$)*

La dinámica de los Ex post

2004



Diseño
Eficiencia
Eficacia

Recomendaciones

2007



Impacto
Sostenibilidad

Lecciones Aprendidas



ROM OUTPUTS

PROJECT SYNOPSIS (PS)

Short profile of project

BACKGROUND CONCLUSION SHEET (BCS)

Standard questionnaire producing ROM ratings and support information for MR

MONITORING REPORT (MR)

*Main findings of the ROM on:
1) Relevance and quality of design 2) Efficiency 3) Effectiveness 4) Impact 5) Sustainability
Recommendations*



QUALITY CONTROL

AND

UPLOAD ON CRIS



RESPONSE SHEET

Feedback and evaluation of ROM by Task Managers in Delegation and HQ

Feedback from other stakeholders

Follow up to ROM recommendations

S

Productos estándar del ROM

PROJECT SYNOPSIS

Project Title: ALLEY CROPPING AS A SUSTAINABLE ALTERNATIVE TO slash-and-burn CULTIVATION, PHASE II

Project Number: NCS0 - 0248/97/067006

Date Financing Agreement: 2011/07

Country: Nicaragua & Costa Rica

Start Date - actual: 05/2007

End Date - planned: 2011/01

End Date - likely: 2011/02

Primary Consultant: 4991.302

1. Project Background
Extensive areas of the humid tropics in Latin America, primarily in mountain slopes, previously covered by forest, are being invaded by slash-and-burn agriculture. Slash-and-burn agriculture entails usually one prolonged cropping (or allowed fallow) period followed by a shorter period under fallow before reburning. Both factors favour the establishment of a few dominant grass species, typified by low productivity, low biological diversity and limited carbon sequestration capacity. As a result the lands productivity declines greatly, forcing the farmers to abandon the land that is treated and continue the application of the slash-and-burn practices further. Under such continual pressure, the destruction of the tropical rainforest proceeds rapidly, and the entire landscape becomes grass-dominated.

2. Project Intervention
This project responds to the need for sustainable agricultural systems for the acid soils of the humid tropics. It aims to eliminate the need for further slash-and-burn agriculture and to encourage remaining tropical forests. The project is a continuation of previous efforts, which have effectively tested Alley Cropping (AC), using bean belonging to various species of the Inga genus, in Costa Rica. The project has been based on the need to address the slash-and-burn problem in the country. The project is carried out with a contract of NCS006, Contrato Organismo Nacional Punta Corda PROU (Proyecto de Rehabilitación de Suelos y Cultivos Agrícolas) in Costa Rica. There is one implementation in Nicaragua, CUREAFAP (Centro de Rehabilitación de Suelos y Cultivos Agrícolas).

The main project activities planned are:

- Monitoring of about 40 developing trial plots among 2 groups of farmers. Assessment of their changing views of the system as it becomes established.
- Establishment of 2 or more AC trial sites of approximately 1 ha each and broken down into experimental subplots. Experimentation with systems producing basic grains (maize, beans) and black pepper.
- Establishment of one or more rehabilitation strip-biological corridors, linking disjoint parts of rainforest, by combining Inga species with other trees.
- Establishment of 2 or more seed orchards of Inga species likely to be needed in any future expansion of the program.
- Enhancement equipment and capacity in CUREAFAP soils lab.
- Dissemination of results and publication of findings.

**PS
Project
Sinopsys**

PROGRAMA VIGILANCIA DE RIESGO

MONITORING REPORT

**BCS
Background
Conclusion
Sheet**

MONITORING REPORT
NICARAGUA - INC - ALLEY CROPPING VERSUS SLASH-AND-BURN

PROJECT DATA

Project Title: Alley Cropping

Project Number: NCS006

Project Start Date: 05/2007

Project End Date: 02/2011

Project Location: Nicaragua

Project Lead: [Name]

Project Manager: [Name]

Project Coordinator: [Name]

Project Assistant: [Name]

Project Contact: [Name]

Project Email: [Email]

Project Phone: [Phone]

Project Fax: [Fax]

Project Website: [Website]

Project Address: [Address]

Project City: [City]

Project State: [State]

Project Country: Nicaragua

Project Status: [Status]

Project Progress: [Progress]

Project Budget: [Budget]

Project Funding: [Funding]

Project Sponsor: [Sponsor]

Project Stakeholders: [Stakeholders]

Project Objectives: [Objectives]

Project Results: [Results]

Project Conclusions: [Conclusions]

Project Recommendations: [Recommendations]

Project Next Steps: [Next Steps]

Project Contact Information: [Contact Information]

**MR
Monitoring
Report**





GRACIAS